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IAP12 Rec'd PCT/PTO 02 APR 2007

10/572,563 **Application Number** TRANSMITTAL March 17, 2006 Filing Date ARR 0 2 2007 **FORM** Jiunn-Liang KO et al. Inventor(s) (to be use or all correspondence after initial filing) Unassigned **Group Art Unit** TRADEMARY Unassigned **Examiner Name Attorney Docket Number** 8964-000031/US ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form Group (for an Application) LETTER SUBMITTING APPEAL Letter to the Official Draftsperson and BRIEF AND APPEAL BRIEF (w/clean Fee Attached Sheets of Formal Drawing(s) version of pending claims) Appeal Communication to Group Licensing-related Papers Amendment (Notice of Appeal, Brief, Reply Brief) Petition **Proprietary Information** After Final Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request **Change of Correspondence Address** (please identify below): Petition under 37 C.F.R. § 1.47(a) **Terminal Disclaimer** 3 Attachments Express Abandonment Request Declaration Request for Refund Copy of Notification of Missing Information Disclosure Statement CD, Number of CD(s) \_\_\_\_\_ Requirements Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ **Incomplete Application** Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE/OF APPLICANT, ATTORNEY, OR AGENT Firm Reg. No. **Attorney Name** Harness, Dickey & Fierce, P.L.C. or John A. Castellano 35,094 Individual name Signature April 2, 2007 Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

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ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. Jiunn-Liang Ko 8964-000031/US 10/572,563 INTERNATIONAL APPLICATION NO. iss, reque PCT/CN04/01044 111106 PRIORITY DATE I.A. FILING DATE 30593 HARNESS, DICKEY & PIERCE, P.L.C. 09/14/2004 09/17/2003 P.O. BOX 8910 RESTON, VA 20195 **6** 2006 **CONFIRMATION NO. 5069 371 FORMALITIES LETTER** 

Date Mailed: 09/01/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/17/2006
- English Translation of the IA filed on 03/17/2006
- Copy of the International Search Report filed on 03/17/2006
- Copy of IPE Report filed on 03/17/2006
- Preliminary Amendments filed on 03/17/2006
- Request for Immediate Examination filed on 03/17/2006
- U.S. Basic National Fees filed on 03/17/2006
- Priority Documents filed on 03/17/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where

- applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

• For Rules Interpretation, call (571) 272-0951

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- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

## ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/572,563	PCT/CN04/01044	8964-000031/US

FORM PCT/DO/EO/905 (371 Formalities Notice)